



TURKS AND CAICOS ISLANDS FINANCIAL SERVICES COMMISSION

Regulating with Honesty, Integrity and Transparency

Summary of Enforcement Actions

January - March 2022

Pursuant to section 33 and Part VII of the Financial Services Commission Ordinance, the Commission took enforcement and disciplinary actions during the period **January - March 2022** in response to non-compliance by licensees/supervisees with legislative and/or regulatory requirements. The table below provides a breakdown of the number of licensees/supervisees subject to enforcement/disciplinary actions by sector.

Also shown is comparative data for the preceding quarter and the corresponding quarter of the previous calendar year.

Sector	Quarter Ending		
	March 2022	December 2021	March 2021
Insurance	346	7	780
Banking	-	-	-
Investment	-	-	-
Money Transfer Business	-	-	-
Trust	-	-	1
Corporate Service Providers	1	-	-