



TURKS AND CAICOS ISLANDS FINANCIAL SERVICES COMMISSION

Regulating with Honesty, Integrity and Transparency

Summary of Enforcement Actions April - June 2022

Pursuant to section 33 and Part VII of the Financial Services Commission Ordinance, the Financial Services Commission (“the Commission”) took enforcement and disciplinary actions during the period **April - June 2022** in response to licensees/supervisees’ non-compliance with legislative and/or regulatory requirements.

Tabled below provides a breakdown of enforcement/disciplinary actions by sector, including comparative data for the preceding quarter and the corresponding quarter of the previous year.

Sector	Quarter Ending		
	June 2022	March 2022	June 2021
Insurance	2	346	6
Banking	-	-	-
Investment	-	-	-
Money Transmitters	-	-	-
Trust	-	-	-
Corporate Service Providers	-	1	2
NPO	-	-	-
DNFBP	13	-	-