

TURKS AND CAICOS ISLANDS FINANCIAL SERVICES COMMISSION

Regulating with Konesty, Integrity and Transparency

Summary of Enforcement Actions

July- September 2020

The period July to September 2020 saw the Turks and Caicos Islands returning to a semblance of normalcy whilst continuing to operate in the throes of a global pandemic. The Commission continues to balance its operations in the continued crisis.

Contravention	Licence	Number	Complied	Status			
Failure to obtain regulatory approval	Bank	2	Yes	Complete			
Failure to file audited financial statements	Insurance	2	Yes	Complete			
Total	4						

PENALTIES

NOTICE TO PRODUCE

Contravention	Licence	Number	Complied	Status
Failure to file Annual Returns	Company Managers	2	Yes	Complete
Total	2			

P.O. Box 140 • Caribbean Place • Leeward Highway • Providenciales • Turks and Caicos Islands Tel: (649) 946 - 5314 / 941 - 8311 • Fax: (649) 941 - 3569

P.O. Box 79 • Waterloo Plaza • Waterloo Road • Grand Turk • Turks and Caicos Islands Tel: (649) 946 - 2791 / 946 - 2550 • Fax: (649) 946 - 1714

Website: www.tcifsc.tc Email: fsc@tcifsc.tc

Page 2

Summary of Enforcement Actions July- September 2020





