

APPLICATION FOR RECOGNITION OF MUTUAL FUND

1. Name of company, unit trust or partnership applying for recognition

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2. Where a company –

(a) registered office of company

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(b) date of incorporation

(c) place of incorporation

(d) names and addresses of directors

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(e) name and address of parent company

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(f) name and address of regulator of parent company

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3. Where a unit trust, names and addresses of trustees

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4. Where a partnership, name and address of partnership and of each partner

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5. Local management company

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6. Name and address of auditor

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7. Name and address of proposed custodian of documents and records

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Information to Accompany Form

- (i) Evidence that the mutual fund –
 - (a) is constituted under the laws of a foreign country or territory; and
 - (b) its equity interests are listed on a stock exchange including over the counter market and specified by the Permanent Secretary, Finance by notice published in the Gazette.
- (ii) A copy of the prospectus; and
- (iii) the address of the applicant’s office in the Islands.