



TURKS & CAICOS ISLANDS FINANCIAL SERVICES COMMISSION

THE INSURANCE ORDINANCE 1989
THE INSURANCE REGULATIONS 1990

APPLICATION FOR INSURANCE MANAGER'S LICENCE Assessment Form & Checklist

[Section 4. (1) (d)]

["Insurance Manager"-a company operating in or from within the Islands which provides Insurance expertise to or for insurers and which has in its employment a person who possesses certain insurance or other acceptable qualifications as specified in Section 2. of the Insurance Ordinance]

[Section 2]

Applicant: _____

- | | | | | | | | |
|--|---|--|--|--|--|--|--|
| <p>1. Prescribed application form received.</p> <p>2. Prescribed Fees Paid US \$1000.</p> <p>3. Consenting Resident nominated to accept service of process.</p> <p>4. (a) Last two financial statements (if existing company)</p> <p style="padding-left: 20px;">(b) Approved Consenting Auditors _____</p> <p>5. If company, Memorandum & Article of Association –
(confirm no power to issue bearer shares)</p> <p>6. Copies of Academic and Professional certificates and qualifications, members of staff and brief overview of professional experience.</p> | <table border="1" style="width: 100%; height: 100%; border-collapse: collapse;"> <tr><td style="height: 20px;"></td></tr> <tr><td style="height: 20px;"></td></tr> <tr><td style="height: 20px;"></td></tr> <tr><td style="height: 20px;"></td></tr> <tr><td style="height: 20px;"></td></tr> <tr><td style="height: 20px;"></td></tr> </table> | | | | | | |
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ACII
Other (state) _____

FCII
CPCU
Brief overview of professional
experience

N.B. Relevant Qualified persons to be identified

7. Type of Insurance to be managed:
- | | | |
|------------|----------|----------------------------------|
| Life | Marine | Property |
| Health | Aviation | Liability (Casualty) (E.L./P.L.) |
| P.Accident | Bonds | |
| Captives | Other | (state) _____ |
8. Re 5 years Business Plan:
- | | |
|--------------------------------|---|
| Indication re choice of TCI | Type and source of business |
| Anticipated A.P.I. by category | Risk factors assessment &
Reinsurance Analysis |
9. Date of end of Applicant's Financial Year: _____

10. Licence

Granted as submitted

Deferred

(a) Basic information required, not submitted with application

(b) Additional information required for proper processing

Checked _____
Assessor's Signature

Date: _____

11. Gazetting of granting of Licence