



<b>8. Current residential/ private address</b>	Street		
	City		
	State/Providence		
	Country		
<b>9. List your residential/private addresses for the last (10) years:</b>			
Date (DD/MM/YYYY)	Address		Country (City & State)
<b>10. EDUCATION</b>			
Date (DD/MM/YYYY)	Location	Degrees /Graduate Studies/ Other	Institution
<b>11. List membership in professional societies and associations:</b>			
<b>12. State present or proposed position with the applicant company.</b>			
<b>13. In carrying out your duties will you be acting on the direction or instructions of any other person? Yes <input type="checkbox"/> No <input type="checkbox"/></b>			
13.1 If yes, give particulars			
<b>14. List complete employment record (up to and including present jobs, positions, directorates or officerships) for the past twenty (20) years giving:</b>			
Date (DD/MM/YYYY)	Employer and Address		Title

**15. REFERENCES**

15.1 Present employer may be contacted.      Yes       No

15.2 Former employer(s) may be contacted      Yes       No

15.3 List the following information of independent references:

Name	Address	Telephone/Fax Numbers

**16.** Have you ever been in a position which required a fidelity bond?      Yes       No

16.1 If any claims were made on the bond, give details

**17.** Have you ever been denied an individual or position schedule fidelity bond, had a bond cancelled or revoked?      Yes       No

17.1 If yes, give details

**18.** List any professional, occupational, and vocational licences issued by any public or governmental licensing agency or regulatory authority which you presently hold or have held in the past giving:

Licence & Date issued (DD/MM/YYYY)	Issuer of Licence	Date terminated (DD/MM/YYYY)	Reason for Termination

**19.** During the last ten (10) years, have you ever been refused a professional, occupational, or vocational licence by any public or governmental licensing agency or regulatory authority, or has any such licence held by you ever been suspended or revoked?

Yes       No

19.1 If yes, give details

**20.** List any financial services businesses which you control directly or indirectly or in which you own legally or beneficially 10% or more of the outstanding stock (in voting rights):


20.1 If any of the stock is pledged or hypothecated in any way, give details

**21.** Have you at any time failed to satisfy any debt adjudged due and payable by you as a judgement-debtor under an order of a court in the Turks and Caicos Islands or elsewhere..... Yes  No

21.1 If yes, give particulars

**22** Have you in connection with the formation or management of any body corporate, partnership or other unincorporated institution, been adjudged by a court in the Turks and Caicos Islands or elsewhere civilly liable for any fraud or other misconduct by you towards such a body or company or towards any members thereof? Yes  No

22.1 If yes, give particulars

**23.** Has any body corporate, partnership or other unincorporated institution with which you were associated as a director or officer in the last 10 years, in the Turks and Caicos Islands or elsewhere, been compulsorily wound up or made any compromise or arrangement with its creditors, or ceased trading in circumstances where its creditors did not receive or have yet to receive full settlement of their claims, either where you were associated with it or within one year after you ceased to be associated with it? .....Yes  No

23.1 If yes, give full particulars

**24** Will you or members of your immediate family subscribe to or own, beneficially or off records, shares of stock of the applicant company or its affiliates? Yes  No

24.1 If yes, give full particulars

24.2 Are any of the shares or stock pledged or hypothecated in any way? Yes  No

24.3 If yes, give full particulars

**25.** Have you ever been convicted or had a sentence imposed or suspended, or had pronouncement of a sentence or pardoned for conviction of, or pleaded guilty, or nolo contendere to an information, or indictment charging any felony, or charging a misdemeanour involving embezzlement, theft, larceny, or mail fraud, or charging a violation of any corporate securities statute, or any insurance law, or have been the subject of any disciplinary proceedings of any federal or state regulatory agency? Yes  No

25.1 If yes, give full particulars

26. Has any company been charged, fined, penalized or had any disciplinary action as a result of any alleged action or conduct on your part? Yes  No

26.1 If yes, give full particulars

27. Have you ever been adjudged a bankrupt? Yes  No

27.1 If yes, give full particulars

28. Have you ever been an officer, director, trustee, investment committee member, key employee, or controlling stockholder of any financial services business which, while you occupied any such position or capacity with respect to it, became insolvent or was placed under supervision or in receivership rehabilitation, liquidation or conservatorship? Yes  No

28.1 If yes, give the following details:

Name	Date (DD/MM/YYYY)

29. Has the certificate of authority or license to do business of any financial services business of which you were an officer or director or key management person ever been suspended or revoked while you occupied such position? Yes  No

29.1 If yes, give the following details

Dated and signed this \_\_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_

I hereby certify that I am acting on my behalf, and that the foregoing statements are true and correct to the best of my knowledge and belief

\_\_\_\_\_  
(SIGNATURE OF AFFIANT)

**State of:**

**Country of:**

The above named ..... personally appeared before me being duly sworn, deposes and says that he executed the above instrument and that the statements and answers contained therein are true and correct to the best of his knowledge and belief.

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

(Notary Public)

**AFFIX SEAL**

My Commission Expires (where applicable)