

TURKS & CAICOS ISLANDS

Financial Services Commission

Application for Approval of Changes of Shareholders, Directors and Officers of a Regulated Business

NB. This form is designed for electronic completion. All spaces will expand as text is input. For manual completion, it would be necessary to expand the spaces before printing. The grey shaded areas are reserved for FSC use.

Where required, Biographical Affidavits should be attached.

1 Full Name of Company

2 **TRANSFER OF SHARES:** (Where the Beneficial Owner of any share(s) is an entity other than a natural person, the name, and address of the natural person(s) who will ultimately own the shares must be supplied).

	Outgoing Shareholder(s)	Incoming Shareholder(s)	
	Name	Name	Residential Address
	Number of Shares	Date of Birth	
(a)			
(b)			
(c)			
(d)			

3 **CHANGE OF DIRECTOR(S):**

	Outgoing Director(s)	Incoming Director(s)	
	Name	Name	Address
		Date of Birth	
(a)			
(b)			
(c)			
(d)			

4. **CHANGE OF OFFICER(S):**

	Outgoing Officer(s)	Incoming Officer(s)

	Name	Name	Address
	Position	Date of Birth	
(a)			
(b)			
(c)			
(d)			

- Please attach an updated Schedule of the Shareholders, Directors and Officers of the company.
- Please state any special circumstances or peculiarities of which the Licensing Committee should be aware. (Attach separate sheet if necessary.)

.....
 Administrator/ CEO/ Company Secretary

.....
 Date

[This Form must be notarized (or executed under the company's Official Seal) on completion]

FOR FSC USE

Results of Due Diligence Checks:

Satisfactory Clarification required Not Acceptable

Decision:

Basic Information Required not received
 Additional Information/Submissions required for proper assessment (state below)
 Approval Recommended Approval not Recommended (see details attached)

Checked:

Head:

.....

.....

Date:

Date: