



TURKS AND CAICOS ISLANDS FINANCIAL SERVICES COMMISSION

Regulating with Honesty, Integrity and Transparency

Summary of Enforcement Actions

July- September 2020

The period July to September 2020 saw the Turks and Caicos Islands returning to a semblance of normalcy whilst continuing to operate in the throes of a global pandemic. The Commission continues to balance its operations in the continued crisis.

PENALTIES

Contravention	Licence	Number	Complied	Status
Failure to obtain regulatory approval	Bank	2	Yes	<i>Complete</i>
Failure to file audited financial statements	Insurance	2	Yes	<i>Complete</i>
Total				4

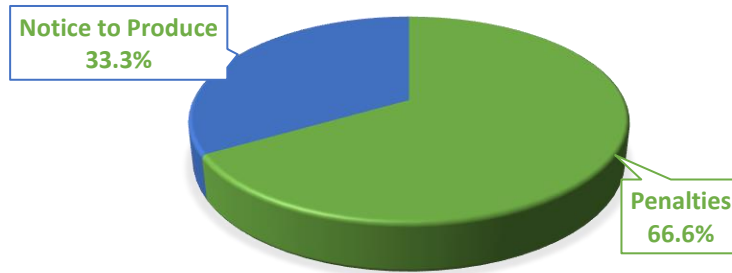
NOTICE TO PRODUCE

Contravention	Licence	Number	Complied	Status
Failure to file Annual Returns	Company Managers	2	Yes	<i>Complete</i>
Total				2

Summary of Enforcement Actions July- September 2020



ENFORCEMENT ACTIONS BY - TYPE OF ACTION - JULY- SEPTEMBER 2020



ENFORCEMENT ACTIONS BY - LICENCE - JULY- SEPTEMBER 2020

