



TURKS AND CAICOS ISLANDS FINANCIAL SERVICES COMMISSION

Regulating with Honesty, Integrity and Transparency

Summary of Enforcement Actions

July- September 2021

Pursuant to section 33 and Part VII of the Financial Services Commission Ordinance, the Commission took the following enforcement and disciplinary actions during the period July- September 2021 in response to non-compliance by licensees/supervisees with legislative and/or regulatory requirements:

PENALTIES

Contravention	Licence	Number	Complied	Status
Failure to pay annual licence fee	Insurance	607	Yes	<i>Complete</i>
Total				<i>607</i>

ENFORCEMENT ACTIONS TO DATE

YTD Enforcement Actions	<i>1,991</i>
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